

SMITH & WILLIAMSON FUND ADMINISTRATION LIMITED

THE MUNRO FUND APPLICATION FORM - INSTITUTIONAL

PLEASE READ THE ENCLOSED SIMPLIFIED PROSPECTUS DOCUMENT PRIOR TO COMPLETING THIS APPLICATION FORM
A COPY OF THE FULL PROSPECTUS IS AVAILABLE FREE OF CHARGE ON REQUEST

PERSONAL DETAILS

BLOCK CAPITALS

Address	
	Postcode
Contact Name	
Contact Number	

JOINT APPLICANT(S) (if applicable – maximum four)

Name	
Signature	Date
Name	
Signature	Date
Name	
Signature	Date

DESIGNATION (Maximum of 15 Characters)

OEICS may not be registered in the name of a minor (under 18 years of age) but should be registered in the name of an adult and designated in favour of the minor using the child's initials eg John Brown a/c RB. Please state the designation in the box below

Designation

INVESTMENT

Please indicate the amount you wish to invest in the OEIC(s). (Please note the minimum initial investment for each OEIC.):

OEIC	AMOUNT TO INVEST	MINIMUM INITIAL INVESTMENT	OEIC	AMOUNT TO INVEST	MINIMUM INITIAL INVESTMENT
Income Shares Class A	£ <input style="width: 80%;" type="text"/>	£1,000	Accumulation Share Class A	£ <input style="width: 80%;" type="text"/>	£1,000
Income Shares Class B	£ <input style="width: 80%;" type="text"/>	£100,000	Accumulation Shares Class B	£ <input style="width: 80%;" type="text"/>	£100,000
Income Shares	£ <input style="width: 80%;" type="text"/>	£250	Accumulation Shares Class X	£ <input style="width: 80%;" type="text"/>	£250

VERIFICATION

Please provide an authorised signatory list for the institution for which the investment will be registered. This must be certified by at least one of the authorised signatories included in that list and accompanied by adequate identification documentation. If you have any queries as to what information to provide for verification purposes, please call the Dealing & Registration Team on 020 7131 4951.

CONTINUED OVERLEAF

PAYMENT

Please make your cheque payable to **Smith & Williamson Fund Administration Limited** and return it with this application form to 25 Moorgate, London, EC2R 6AY.

Or telegraphic transfers can be made to the following account.

Branch: Sort Code 15-10-00 Royal Bank of Scotland – City Office

Account number: 17487062 Account name: Smith & Williamson Fund Administration Limited

INCOME PAYMENTS

Please indicate how you wish to have the income paid:

DIRECT TO BANK/BUILDING SOCIETY – please give payment details

Bank or Building Society

Branch Title

Account Name

Account Number

Sort Code - -

RE-INVESTMENT

DECLARATION AND SIGNATURES

- I/We have read and understood the Simplified Prospectus document.
- I/We understand that Smith and Williamson may need to use the credit reference/information agencies in order to satisfy Smith and Williamson’s statutory money laundering obligations. These agencies may keep a record of this enquiry.
- I/We confirm that all applicants are at least 18 years of age.

Signature – APPLICANT 1	Date
Signature – APPLICANT 2	Date
Signature – APPLICANT 3	Date
Signature – APPLICANT 4	Date

CONTINUED OVERLEAF

FINANCIAL ADVISER DETAILS (if applicable)

Name	
Company	
Address	
Postcode	Telephone No

COMMISSION

	%
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DISCOUNT

	%
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**TRAIL
COMMISSION**

	%
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DATA PROTECTION

We shall record any information (including, where appropriate, personal data as defined in the Data Protection Act 1998) which we receive about you and your investments (whether in relation to this fund or any other) in physical and electronic form and will organise and process such information as we think appropriate in connection with the administration of your investments.

We may: (i) disclose your information where under a legal or regulatory obligation to do so; (ii) use your information for marketing, research and statistical analysis purposes; and (iii) disclose your information to third party entities which provide us with administrative support and technological back up services.

We may disclose your information to third party promoters of this fund only (but not to any other third parties) for marketing, research, statistical analysis and administration purposes.

If you would prefer us not to disclose your information to such third parties, please tick this box.

Where we hold personal data, you are in many cases entitled to a description of the personal data which we hold about you. We may charge a small fee for providing such information.